

# Image Interpolation Techniques Review

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**Abstract- image interpolation is very interesting area of research in image processing which consists of various processing tasks such as compression, restoration, denoising, enhancement. Reconstruction quality of any image interpolation algorithm depends on its ability to adapt changing pixel structures across image. Interpolation is method of creating new data points from set of available or known data points which helps in analyzing exact details of images which is required in many applications such as medical imaging. By interpolation methods many artifacts in low resolution image such as blurring, ringing can be removed.**

## I. INTRODUCTION

Image interpolation is very important task in medical imaging, remote sensing, digital photographs and satellite imaging where analysis require conversion from LR image to HR image to study minute details. So many image interpolation techniques were developed. In this work, we are going to review five image interpolation methods such as Bi-cubic interpolation, New edge directed interpolation (NEDI), interpolation via directional filtering and data fusion (DSDF, soft decision and adaptive 2-d autoregressive model (SAI), nonlocal autoregressive model (NARM). Bi-cubic interpolation method is simplest is having lower complexity among all but

cannot reconstruct edge hence produces artifacts. NEDI improves visual quality of image by reconstructing edges however in case of multiple intersect it produces speckle interpolation noise. DSDF method reduces this speckle interpolation noise using minimum mean square error method when edge direction learned from LR image is not reliable. SAI method reduces most of visual defects associated with above methods. NARM method efficiently uses non-local neighboring pixels to construct HR image from LR counterpart.

## II. INTERPOLATION TECHNIQUES

### A. BI-Cubic Interpolation

This interpolation technique is extension of cubic interpolation used for obtaining high resolution (HR) image from low resolution (LR) counterpart or resampling of discrete data. This can be accomplished by using Lagrange's polynomial or cubic splines or cubic interpolation algorithm.

Interpolation function meet sampled data at sample points i.e. if A is sampled function and B is interpolation function, we can write  $B(s_k) = A(s_k)$  where  $s_k$  is sample points. If the sample points are equally apart from each other, we can write interpolation function as

$$B(s) = \sum_k C_k u\left(\frac{s-s_k}{h}\right)$$

Where  $h$  is increment in samples equally apart from each other and  $s_k$  are sample points,  $u$  kernel for bi-cubic interpolation,  $C_k$  are coefficients which depends on sampled data. 'u' helps in converting discrete data into continuous. This cubic interpolation is achieved by constraining kernel.

Let's consider 'u' is contains piecewise polynomials defined in interval  $(-2,2)$ .we can divide this interval into subintervals as  $(-2,-1),(-1,0),(0,1),(1,2)$ . So we can write kernel 'u' as follows

$$\begin{aligned} u(x) &= P_1 x^3 + Q_1 x^2 + R_1 x + S_1 \quad 0 < x < 1 \\ &= P_2 x^3 + Q_2 x^2 + R_2 x + S_2 \quad 1 < x < 2 \\ &= 0 \quad x < 2 \end{aligned}$$

This kernel must assume values  $u(0)=1, u(n)=0$  i.e.  $u(1)=u(2)=0$  this gives us following equations for coeff.  $1=u(0)=S_1$

$$0=u(1^-)=P_1 + Q_1 + R_1 + S_1$$

$$0=u(1^+)=P_2 + Q_2 + R_2 + S_2$$

$$0=u(2^-)=8P_2 + 4 Q_2 + 2R_2 + S_2$$

If we select  $P_2$ , the interpolation function B matches with the original function A. Let  $P_2 = p$  and determine remaining coeff. In terms of  $p$  using Taylor's series expansion.

So the interpolation kernel in terms of  $p$  becomes as follows

$$\begin{aligned} u(x) &= (p+2) x^3 - (p+3) x^2 + 10 < x < 1 \\ &= px^3 - 5 p x^2 + 8 p x - 4 p \quad 1 < x < 2 \\ &= 0 \quad x < 2 \end{aligned}$$

This interpolation technique can reconstruct any second-degree polynomial; however this method of interpolation fails catch varying statistics around edges so produce blurred HR images. Computational complexity of bi-cubic algorithm is low.

### B. New Edge Directed Interpolation (NEDI)

For an ideal step edge, image intensity evolves faster across the edge orientation than along the edge orientation. As edges are important in images,

reconstructing the geometry of edges is paramount in image processing. In this method first covariance coefficients of LR image are obtained. Then HR covariance coefficients are obtained from LR covariance coefficients using geometrical similarity among them. By considering image as stationary Gaussian process, we can obtain interpolation by minimum mean square error method (MMSE). This improves visual quality of pixel around edges but this also increases computational complexity to high extent as compared to linear methods of interpolation. so mixed approach was proposed to tackle above problem. Covariance based method was employed for pixels around edges only and for other parts linear interpolation methods were used.

Consider  $X_{i,j}$  be the LR image and  $Y_{2i,2j}$  be the HR image such that  $X_{i,j} = Y_{2i,2j}$ . If we want to obtain

$Y_{2i+1,2j+1}$ , we will have to interpolate  $X_{i,j}$  i.e. in turn we will have to interpolate  $Y_{2i,2j}$ . The interpolation function is given as follows which contains four nearest neighbor in diagonal direction.

$$Y_{2i+1,2j+1} = \sum_{k=0}^1 \sum_{l=0}^1 \alpha_{2k+l} Y_{2(i+k), 2(j+l)}$$

Where ' $\alpha$ ' represents interpolation coefficients and given by

$$\vec{\alpha} = R^{-1} \vec{r}$$

Where  $R$  and  $\vec{r}$  represents high resolution covariance

Important applications of this algorithm are resolution enhancement of gray scale image and reconstruction of color images from CCD samples in which visual quality of reconstructed image is improved. Since this method requires big window to calculate covariance coefficients for each missing pixel, this may introduce artifacts in local structure which causes faulty calculation of covariance

### C. Edge-Guided Image Interpolation via Directional Filtering and Data Fusion (DSDF)

In this method, missing pixel is interpolated in two mutually orthogonal directions. These two different observations of missing pixels are combined using local window. Finally the pixel is interpolated by fusing two directional observations using linear minimum mean square error estimation.

Let's assume  $I_h$  and  $I_l$  are the HR and LR images respectively. We are considering that LR image is directly down sampled from HR image. Hence we can write

$$I_l(n,m) = I_h(2n-1, 2m-1) \quad , 1 \leq n \leq N, 1 \leq m \leq M$$

Where  $N$ = no. of pixel rows in LR image,  $M$ =no. of pixel columns in LR image

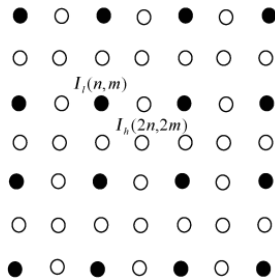


Fig.(a)

Black dots represent available pixels of LR image and white dots represents missing pixels in HR image.

As human eye is sensitive to edges, it is important to decrease effect of artifacts while maintaining edge sharpness. Direction of edge is important information in interpolation; to use this we partition neighboring pixels of each missing pixel into two directional (observational) subsets which are orthogonal to each other. In this method we reconstruct HR image in two steps. In first, we reconstruct center pixels like  $I_h(2n, 2m)$  surrounded by four known and four unknown pixels. In fig.(b), there are two orthogonal directions, one along  $45^\circ$  and other along  $135^\circ$ . If we want to reconstruct missing pixel  $I_h(2n, 2m)$ , consider two directional observations as  $\hat{I}_{45}(2n, 2m)$  and  $\hat{I}_{135}(2n, 2m)$  by linear interpolation methods. Let the observations are as follows

$$\hat{I}_{45}(2n, 2m) = I_h(2n, 2m) + v_{45}(2n, 2m)$$

$$\hat{I}_{135}(2n, 2m) = I_h(2n, 2m) + v_{135}(2n, 2m)$$

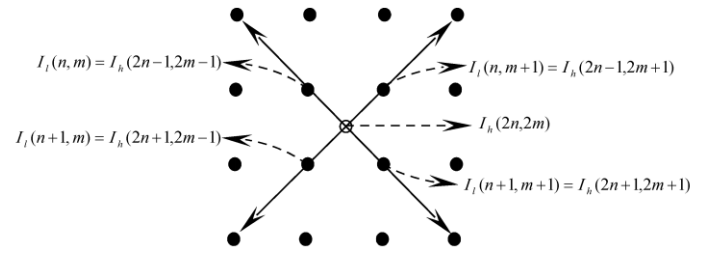


Fig.(b)

Where  $v_{45}$  and  $v_{135}$  represents noise along two mutually orthogonal directions. We can combine above two observations in matrix form as follows:

$$Y = 1 \cdot I_h + V$$

Where  $Y, 1, V$  all are  $2 \times 1$  matrices as follows.

$$Y = \begin{bmatrix} \hat{I}_{45} \\ \hat{I}_{135} \end{bmatrix}, \quad 1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \quad V = \begin{bmatrix} V_{45} \\ V_{135} \end{bmatrix}$$

Now we have to calculate value of  $I_h$  from observation  $Y$ . this can be achieved by use of linear minimum mean square estimation as follows

$$I_h = \mu_h + \text{cov}(I_h, Y) (\text{Var}(Y))^{-1} (Y - E[Y])$$

Where  $\mu$  is mean, cov stands for covariance, Var stands for variance, E stands for mean or expectation.

#### D. Image Interpolation by Adaptive 2-D Autoregressive Modeling and Soft-Decision Estimation (SAI)

In this method, value of missing pixels is calculated in group instead of one at a time like in NEDI, DSDF. Interpolation means to increase inborn resolution of the image.

The reconstruction capacity of any image interpolation algorithm depends on ability to adapt nonstationary pixel across image. In this method, natural image is taken as piecewise 2-D autoregressive process. Model parameters are measured for each sample using sample statistics of local window. Sample set should be causal for estimation of current sample.

In this method first step is define piecewise autoregressive model (PAR). Then apply PAR model

to soft-decision estimation technique for adaptive interpolation (SAI).

According to PAR model, image can be represented as

$$X(i,j) = \sum_{(m,n) \in T} \alpha(m,n) X(i+m,j+n) + v_{i,j}$$

Where  $v_{i,j}$  is random perturbation independent of location of samples. Effectiveness of PAR model depends on how model parameters  $\alpha(m, n)$  matches with local pixel structures.

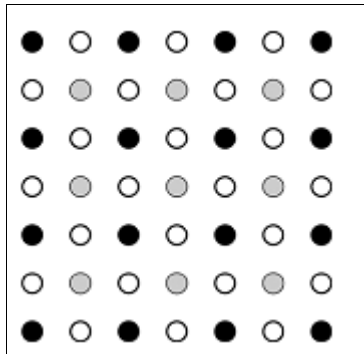


Fig.(a)

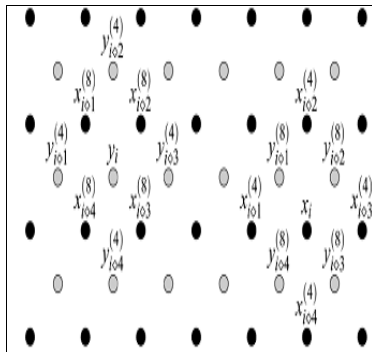


Fig.(b)

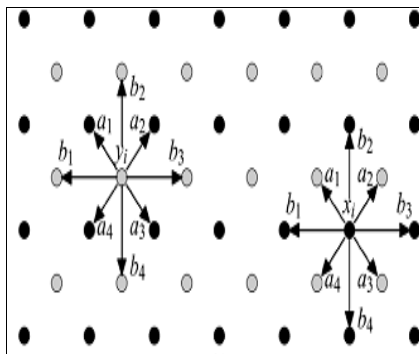


Fig.(c)

Fig. (a) shows solid black spots which represents LR image pixels, missing circles represents HR image pixels which are to be calculated

Let  $I_h$  and  $I_l$  be the HR, LR image respectively. From Fig.(a) , we can say that LR image is obtained by down sampling HR image by factor of two. Let  $x_i, y_i$  belongs to LR,HR image respectively.

By using Fig.(b) we can write

$$y_i = \sum_{1 \leq t \leq 4} a_t x_{i \delta t}^{(8)} + v_i$$

With above model we can interpolate n missing pixels in given window by least squares block estimation with parameters  $a_t$ . similarly PAR model can be written by using parameters  $b_t$  as follows

$$y_i = \sum_{1 \leq t \leq 4} b_t y_{i \delta t}^{(4)} + v_i$$

while model parameters  $a_t$  and  $b_t$  are using following equation

$$\hat{b} = \arg \min_b \sum_{i \in W} (x_i - \sum_{1 \leq t \leq 4} b_t x_{i \delta t}^{(4)})^2$$

Similarly for model parameters of  $a_t$

This algorithm gives better results in terms of PSNR as compared to previous methods such as bicubic, NEDI, DSDF.

### E. Sparse Representation Based Image Interpolation with Nonlocal Autoregressive Modeling (NARM)

In this method, low resolution image (LR) is considered as down-sampled version of high resolution image (HR) after blurring. If we consider blurring kernel as Dirac-delta function, this method becomes less effective as it fails to constrain local image structure. So in this method we are adding nonlocal similarity of image into conventional sparse representation models (SRM). According to compressive sensing theory, dictionary should be less coherent with sampling matrix. So this newly proposed algorithm (NARM) produces best result so far in terms of PSNR as well as SSIM, FSIM.

General super-resolution algorithm can be modeled as  $y = DHx + v$ , where  $y$  is observed (LR) image after blurring in original (HR) image  $x$ ,  $v$  is additive noise,  $D$  is down-sampling factor,  $H$  is Dirac-delta function

i.e. identity matrix. For reconstructing image  $x$  from observed image  $y$  can be through iterative back projection algorithm but it produces noisy image so regularization terms were added in that. Sparse representation model assumes that image  $x$  is sparse in some domain spanned by dictionary ' $\Psi$ ' i.e.  $x \approx \Psi\alpha$ . Here most of coefficients in  $\alpha$  are zero. Using  $l_1$  minimization model, SRM based model can be written as follows

$$\hat{\alpha} = \arg \min_{\alpha} (\|y - D_H \Psi \alpha\|_2^2 + \lambda \|\alpha\|_1).$$

Once coding vector  $\hat{\alpha}$  is obtained,  $x$  can be calculated with help of dictionary. In autoregressive modeling, given pixel is represented as linear combination of its local neighbors. While in NARM, nonlocal neighbors are taken into consideration and missing pixel is assumed to be equal to weighted sum off its nonlocal neighbors, hence equation for coding coefficients  $\hat{\alpha}$  written as

$$\hat{\alpha} = \arg \min_{\alpha} (\|y - D_S \Psi \alpha\|_2^2 + \sum_{i=1}^N \|\lambda_i \alpha\|_1 + \sum_{i=1}^N \|\eta_i (\alpha_i - \alpha_i^*)\|_2^2)$$

By using variable splitting method, above problem can be solved by dividing it into smaller problems.

#### F. Super Resolution Via Sparse Representation(Scsr)

We know that image patches can be well-represented as a sparse linear combination of elements from an appropriately chosen over-complete dictionary. In this method, we seek a sparse representation for each patch of the low-resolution input, and then use the coefficients of this representation to generate the high-resolution output. Theoretical results from compressed sensing suggest that under mild conditions, the sparse representation can be correctly recovered from the downsampled signals. By jointly training two dictionaries for the low and high-resolution image patches, we can enforce the similarity of sparse representations between the low resolution and high resolution image patch pair with respect to their own dictionaries. Therefore, the sparse representation of a low resolution image patch can be applied with the high resolution image patch dictionary to generate a high resolution image patch.

$$X^* = \arg \min_{X} (\|S_H X - Y\|_2^2 + \|X - X_H\|_2^2)$$

### III. COMPARISON BETWEEN DIFFERENT INTERPOLATION TECHNIQUES

So far we have discussed five interpolation techniques such as bi-cubic, NEDI, DSDF, SAI and NARM.

Among all above, NARM gives best results in terms of PSNR, SSIM, FSIM as compared to others when applied on test images. Test images are Lena, house, girl, leaves, camera man, starfish as follows



Lena



Girl



Starfish



house



Leaves



camera man

When BI-cubic, NEDI, DSDF, SAI, NARM methods are applied to the above test images, we get results as shown in table. The result shows PSNR, FSIM, SSIM of various test images and their comparison

| Images     | Bi-cubic | NEDI   | DSDF   | SAI    | NARM   | SCSR   |
|------------|----------|--------|--------|--------|--------|--------|
| Lena       | 33.91    | 33.76  | 33.89  | 34.68  | 35.01  | 33.70  |
|            | 0.914    | 0.9134 | 0.9122 | 0.9184 | 0.9238 | 0.9080 |
|            | 0.9872   | 0.9868 | 0.9867 | 0.9882 | 0.9893 | 0.9855 |
| house      | 32.15    | 31.67  | 32.57  | 32.84  | 33.52  | 31.78  |
|            | 0.8772   | 0.8743 | 0.8775 | 0.8778 | 0.8841 | 0.8699 |
|            | 0.9404   | 0.9434 | 0.9478 | 0.9496 | 0.9567 | 0.9370 |
| leaves     | 26.85    | 26.23  | 27.22  | 28.72  | 29.76  | 27.52  |
|            | 0.9365   | 0.9403 | 0.9433 | 0.9575 | 0.9661 | 0.9640 |
|            | 0.9259   | 0.9429 | 0.9478 | 0.9591 | 0.9674 | 0.9293 |
| camera man | 25.36    | 25.42  | 25.67  | 25.88  | 25.94  | 25.28  |
|            | 0.8639   | 0.8626 | 0.867  | 0.8709 | 0.8781 | 0.8611 |
|            | 0.9041   | 0.9059 | 0.9143 | 0.9177 | 0.9231 | 0.9031 |
| girl       | 33.83    | 33.85  | 33.79  | 34.13  | 34.46  | 33.29  |
|            | 0.8533   | 0.857  | 0.852  | 0.8588 | 0.8658 | 0.8411 |
|            | 0.9416   | 0.9412 | 0.9395 | 0.9444 | 0.9434 | 0.9335 |
| starfish   | 30.22    | 29.36  | 30.07  | 30.76  | 31.72  | 30.35  |
|            | 0.9169   | 0.8987 | 0.9118 | 0.9207 | 0.9299 | 0.9170 |
|            | 0.9522   | 0.9458 | 0.9541 | 0.9577 | 0.9648 | 0.9541 |

#### IV. CONCLUSION

In this paper we have seen five different interpolation methods .Bi-cubic interpolation is having relatively lower complexity than others but it cannot reconstruct edges which creates artifacts such as ringing. Hence new method such as NEDI was developed which can reconstruct edges so that visual quality is good . SAI method eliminates most of visual defects associated with other methods.In SAI, estimation of model parameters assume that spatial co-relation between HR,LR pixels is same but if this assumption is wrong, this method produces some

false edges or textures. NARM method uses non-local self-similarity between image patches or pixels to develop reconstruction algorithm which gives better results than other method.

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| <b>Images</b> | <b>Bi-cubic</b> | <b>NEDI</b> | <b>DSDF</b> | <b>SAI</b> | <b>NARM</b> | <b>SCSR</b> |
|---------------|-----------------|-------------|-------------|------------|-------------|-------------|
|               |                 |             |             |            |             |             |
| Lena          | 33.91           | 33.76       | 33.89       | 34.68      | 35.01       | 33.70       |
|               | 0.914           | 0.9134      | 0.9122      | 0.9184     | 0.9238      | 0.9080      |
|               | 0.9872          | 0.9868      | 0.9867      | 0.9882     | 0.9893      | 0.9855      |
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|               | 0.8533          | 0.857       | 0.852       | 0.8588     | 0.8658      | 0.8411      |
|               | 0.9416          | 0.9412      | 0.9395      | 0.9444     | 0.9434      | 0.9335      |
| starfish      | 30.22           | 29.36       | 30.07       | 30.76      | 31.72       | 30.35       |
|               | 0.9169          | 0.8987      | 0.9118      | 0.9207     | 0.9299      | 0.9170      |
|               | 0.9522          | 0.9458      | 0.9541      | 0.9577     | 0.9648      | 0.9541      |