

A novel approach towards iris segmentation and recognition

Kalpana Singh, Dr. Rajat Gupta, and Dr. Kuldeep Pahwa
Department of Electronics and Communication Engineering, Maharishi
Markandeshwar University, Mullana (Ambala), Haryana

Abstract Iris segmentation is an integral part of iris recognition. Earlier works in the iris recognition uses the conventional iris localization and binarization to identify the pupil and iris boundaries. In this paper a new/ novel approach for iris segmentation is proposed where the existing canny method and local maxima suppressed image is used for identifying the boundaries and centre of pupil and iris. The paper shows the different result so obtained for iris segmentation using MATLAB software .CASIA database has been used to obtain the standardized output.

Keywords: matlab, iris, casia, canny, maxima suppression

1. Introduction

With increase in emphasis on security nowadays, biometric technologies are becoming much more important than ever [1]. In particular, iris recognition in recent years receives growing interests. Iris pattern recognition is unique to each subject, remain stable throughout life and offers several distinct advantages [2; 3; 1]. Especially, it is protected by the body's own mechanisms and impossible to be modified without risk. Thus, iris is reputed to be the most accurate and reliable for person's identification [5] and has received extensive attentions over the last decades. The degree of freedom of iris textures is extremely high, the probability of finding two identical irises is close to zero therefore, iris recognition systems are very reliable and could be used in most secure places. Iris segmentation is to locate the valid part of the iris for iris biometrics [7], including finding the pupillary and limbic boundaries of the iris, localizing its upper and lower eyelids if they occlude and detecting and excluding any superimposed occlusions of eyelashes, shadows or reflections. The centrality of segmentation to effectiveness of any iris recognition system cannot be overemphasized [4]. It determines effectiveness of the system [8]. Two well-known iris segmentation approaches are attributed to Daugman and Wildes. Daugman developed integro-differential operator to find circular pupil and limbus boundaries. It can be interpreted as a circular edge detector, which searches, in a smoothed image by Gaussian filter, the parameters of a circular boundary along which the integral derivative is maximal [2]. Wildes proposed a two-stage iris segmentation method: a gradient based intensity image, and next the inner and outer boundaries are detected using Hough transform [9]. It is reported that most failures to match in iris recognition system result from inaccurate segmentation [10]. The contents of this paper are thus arranged: section 2 elucidates on available iris segmentation techniques. Section 3 identifies some available public iris databases that can be used for iris system validation. Section 4 gives an exhaustive literature review of iris segmentation methods discussed in literatures/researches while 5 and 6 discuss some limitations and areas of future researches respectively.

2. Existing iris segmentation techniques

The audit of iris division in writings uncovers two noteworthy methodologies: Daugman's integro-differential administrator and Hough's change based. About all current procedures utilize one of these two or their variations for division.

Daugman's system

Daugman displayed the first way to computational iris distinguishment, including iris confinement [2]. An integro-differential administrator is proposed for finding the inward and external limits of an iris. The administrator accepts that the pupil and limbus are roundabout forms and executes as a round edge locator. Recognizing the upper and lower eyelids is additionally performed utilizing the Integro-differential administrator by modifying the form seek from roundabout to a planned precise [2]. Integro-differential operator is defined as

$$\max_{(r, x_0, y_0)} \left| G_\sigma(r) * \frac{\partial}{\partial r} \oint_{r, x_0, y_0} \frac{I(x, y)}{2\pi r} ds \right| \quad (1)$$

Where (x, y) is a picture containing an eye. The integro-differential administrator looks over the picture space (x, y) for the most extreme in the smudged halfway subsidiary concerning expanding sweep r of the standardized form basic of $I(x, y)$ along a round bend ds of span r and focus co-ordinate x_0, y_0 . The image $*$ indicates convolution and $G_\sigma r$ is a smoothing capacity, for example, a Gaussian of scale (σ) and is characterized as:

$$G_\sigma(r) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(r-r_0)^2}{2\sigma^2}} \quad (2)$$

The integro-differential administrator carries on as a roundabout edge finder. It hunt down the angle maxima more than a 3D parameter space, so there are no edge parameters needed as in the Canny edge locator. Daugman just bars the upper and lower most parcels of the picture where eyelid impediment is required to happen.

Wilde's technique Wildes had proposed an iris distinguishment framework in which iris confinement is finished by distinguishing edges in iris pictures took after by utilization of a round Hough change to confine iris limits [9]. In a round Hough change, pictures are dissected to gauge the three parameters of one circle (r, x_0, y_0) utilizing the comparisons:

$$H(x_0, y_0, r) = \sum_i g(x_i, y_i, x_0, y_0, r) \quad (3)$$

Where (x_i, y_i) is an edge pixel and i is the list of the edge pixel

$$H(x_i, y_i, x_0, y_0, r) = \begin{cases} 1 & \text{if } g(x_i, y_i, x_0, y_0, r) = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$\text{Where } g(x_i, y_i, x_0, y_0, r) = (x_i - x_0)^2 + (y_i - y_0)^2 - r^2 \quad (4)$$

The area (x_0, y_0, r) with the most extreme estimation of (x_0, y_0, r) is picked as the parameter vector for the strongest roundabout limit. Wildes' framework models the eyelids as illustrative curves. The upper and lower eyelids are identified by utilizing a Hough change based methodology. The main contrast is that it votes in favour of illustrative bends rather

than circles. One powerful purpose of the edge identification and Hough change methodology is the utilization of edges in edge detection.

3. Different Public Iris Databases and their limits

There are numerous different open databases accessible for confirmation of execution of iris distinguishment frameworks. It includes: CASIA: this is the most generally utilized iris picture database, having distinctive different forms (up to form 4.) the first form has one noteworthy preference: the creators pre-processed the pictures such that the understudy locale is recognized and loaded with dark pixels. The database is not caught under differed light power. BATH: images from this database are very like the one contained in MMU they have fundamentally the same attributes and few clamour variables, pretty much solely related with little eyelid or eyelashes obstructions. MMU: pictures from this database show few commotion elements and their qualities are likewise extremely homogenous. A settled picture catch process must have been taken after, obviously recreating an agreeable environment. ICE: UPOL: WVU: UBRIS: Lions foundation: this is the most heterogeneous iris database pictures: hence it is helpful for heterogeneous iris distinguishment framework. All pictures were caught with optometric system bringing about ideal pictures with amazingly comparative qualities (Dobes and Machala)

4 Writing survey on iris division

Daugman proposed an integro-differential administrator for confining iris areas alongside evacuating the conceivable eyelids commotions [2]. From the production, we can't pass judgment on whether student and eyelash commotions are considered in his technique. Kong added to a commotion discovery model for iris division. While student clamours have not been considered in the model, besides commotion districts were straightforwardly portioned from unique iris a picture, which is tedious, and thirdly, it has not been tried taking into account the predominant distinguishment calculation on a huge iris dataset [13].

Daugman proposed an Integro-differential administrator for placing the internal and external limits of iris, and also the upper and lower eyelids. The administrator figures the fractional subsidiary of the normal force of circle focuses, with deference to increasing sweep, r . In the wake of convolving the administrator with Gaussian part, the greatest contrast in the middle of internal and external circle will characterize the core and range of the iris limits. For upper and lower eyelids location, the way of form mix is adjusted from roundabout to illustrative bend [2;3]. Wildes utilized edge location and Hough change to confine the iris. Edge locator is connected to a dim scale iris picture to produce the edge map. Gaussian channel is connected to smooth the picture to choose the correct size of edge investigation. The voting system is acknowledged utilizing Hough change as a part of request to scan for the coveted shape from the edge map. The middle direction co-ordinate and span of the circle with greatest number of edge focuses is characterized as the shape of investment. For eyelids identification, the shape is characterized utilizing illustrative bend parameter rather than the circle parameter [9].

Kong et al. proposed Gabor channel and change of force methodologies for eyelash recognition. The eyelashes were arranged into distinguishable eyelashes and various eyelashes. Distinct eyelashes are identified utilizing 1D Gabor channels and various eyelashes were recognized utilizing difference of force. Connective foundation was utilized as a part of their model. A low yield quality was acquired from the convolution of the detachable eyelashes with the Gabor channel. For various eyelashes, the fluctuation of power in a window is littler is littler than a limit, the core of the window was considered as the eyelashes [13].

Boles and Boashah, Lim and his partners, and Noh primarily centered around the iris picture speaking to and gimmick coordinating, and did not present the data about division. In 2002, Tisseet al. proposed a division system in view of integro-differential administrators with a Hough Transform. This diminished the processing time and rejected potential focuses outside of the eye picture. Eyelash and student clamors were likewise not viewed as in his strategy. Mama, in their calculation handled iris division by basic sifting, edge location and Hough Transform. This made the general system exceptionally effective and solid. There was no system proposed for preparing eyelash and student clamors. Their calculation had the capacity identify commotion because of students and eyelashes.

Cui et al., (2004) decomposed the iris picture utilizing Haar wavelet before understudy restriction. Changed Hough's calculation was utilized to get the inside and range of understudy. Iris external limit was confined utilizing an indispensable differential administrator. Surface division was embraced to recognize upper and lower eyelids. The vitality of high range at every district is figured to fragment the eyelashes. The locale with high recurrence is considered as the eyelashes zone. The upper eyelashes are fit with allegorical curve. The explanatory curve demonstrates the position of the upper eyelid. For lower eyelid identification, the histogram of the first picture is utilized. The lower eyelid region is portioned to register the edge purpose of the lower eyelid and the lower eyelid is fit with edge focuses. That year, Huang et al., distributed an iris division technique which whined edge and area data for clamour recognition. Edge data was acquired taking into account stage congruency by a bank of Log-Gabor filers whose bits are suitable for commotion discover. Edge strategy was utilized to prohibit clamor because of understudy and eyelashes on the grounds that they both have high power values. Dark gap look technique was proposed by Teo and Ewe to register the focal point and zone of an understudy. Since the student is the darkest locale in the picture, this methodology applies edge division strategy to discover the dim territories in the iris picture. The dim zones are called "dark gaps". The core of mass of these dark openings is processed from the worldwide picture. The region of the understudy is the aggregate number of those dark openings inside the locale. The range of the understudy can be computed from the recipe of a circle, (2005). Proenca and Alexandre proposed an iris division technique which went for identifying clamour because of eyelashes and students. Their calculation goes for fitting division of iris caught under non-participating condition from the subject. The system proposed a pre-processing strategy which applies the fluffy k-means grouping calculation on the position and power characteristic vector of the iris picture took after by Hough transform. Tuceryan textural technique (Tuceryan, 1994) was utilized on UBIRIS database and afterward changed it to properly section iris pictures. Speaking to the pictures as 3D $((x,y),z)$ (pixel position + force), data about the spatial relations in the picture and also about the individual properties of every

pixel were protected. The minutes f_{20} and f_{02} proved to recognize the iris fringe additionally created extensive commotion with respect to the eyelid area. Richard et al., added to an iris division approach which was capable repay each of the four sorts of clamours keeping in mind the end goal to attain to higher exactness rate. It comprises of four sections: firstly, the understudy is limited utilizing edge and Circular Hough Transform strategies. Besides, two pursuit locales including the external iris limits are characterized to find the external iris. Next, two hunt locales are chosen in view of understudy position to distinguish the upper and lower eyelids lastly, edge is executed to evacuate eyelashes, reflection and student clamours. The strategy's execution on CASIA iris database was found to execute as high as 98.62% precision. Peihua and Xiaomin exhibited an incremental technique for exact iris division. The calculation meets expectations in two stages: first generally place a square locale that contain student, took after by Canny edge discovery in addition to Hough change for precise pupillary limit restriction; furthermore generally confining two annulus parts in which limbic limit is finely situated. The proposed technique is not without constraints. A few limits of the methodology include: conclusion (complete or just about) of the eye; low quality of the pictures because of variety of enlightenment, terrible centre or commotion; at long last, mistaken harsh restriction of pupillary limit [1]. Nakissa and Mohammed built up a stepwise level set methodology for iris division of an iris distinguishment framework [8]. In spite of the fact that it has numerous preferences on all current routines, the absence of point correspondence is one of its critical drawbacks. Yahyah and Nordin proposed iris division by immediate minimum squares fitting of ovals.

Donida and his exploration gathering created specialists based understudy limitation (MAPL) and different perspectives iris limits iris refining (MVBR) strategy for iris confinement. The present system was advancement on their past work [13] strategy which utilized an ANN technique to spot understudy range. Donida and Scotti distinguished the iris limits via seeking the exceptional example moves in the outspread slope picture around a perception point. In the present work, they utilized a set of N perception focuses and appropriately combined the removed data keeping in mind the end goal to better insert the inward and external iris limits. The calculation was connected on two noteworthy open iris databases (CASIA and UBIRIS variant 2). The division calculation slip rate was 2.9% and it didn't have eyelash area and reflection evacuation calculation. Kheirolahyet al., (2009) utilized upgraded shading mapping to make student locale clear and simple to section and they attained to 98% distinguishment exactness by applying improved shading mapping. The calculation functioned admirably in a large portion of the eye models. Abdulsamad and Nordin utilized Chan-Vese dynamic shape strategy to concentrate the iris from the encompassing structures. The proposed calculation is such that the picture is stacked, reflections are distinguished utilizing inpainting procedure, versatile boosting (AdaBoost)-Cascade Detector is embraced to recognize iris area lastly Chan-Vese dynamic shape strategy is connected to discover the limits of the iris. When utilized on UBIRIS iris database against some different iris division techniques [3; 9], it was found that it performed better with lapse dismissal rate (ERR) of 5.5068. (Daugman [16.8635]; Wildes [33.8226]). Puhan and Kaushalram developed a proficient division calculation utilizing Fourier ghostly thickness. The Fourier ghostly thickness figured for a pixel shows the vitality level in its neighbourhood. In an iris picture, the vitality level at pixels in sclera locale is higher than the iris pixels because of the white sclera area. In this manner making it conceivable to separate the sclera locale from the iris. The specular reflections were uprooted by performing associated part naming and limit on the picture. Mahmoud and Ali

proposed an iris division calculation which had the capacity limit an iris picture in an unconstrained situation. After pre-processing stage, roundabout Hough's change was used for limiting round region of iris inward and external limits. Sastr and Durga, added to an upgraded iris division system that permits continuous iris distinguishment framework. This diminished iris division time further permits high determination iris pictures to be utilized accordingly improving distinguishment exactness of the framework. His primary target was to lessen the division time. All impediment were uprooted utilizing Sobel channel both as a part of vertical and level bearings. The inward and the external limits were thought to be a circle. Its distinguishment exactness was discovered to be 99%.

4. Confinements of distinguished calculations

Daugman, distinguished the accompanying actualities about human iris which must be remembered while creating iris distinguishment framework [7]. The inward and external limits are not flawless circle, dynamic form can be utilized for proper limit determination. Furthermore much more prominent requests are nearing as countries are considering biometric based security framework. The vicinity of anomaly individuals from the populate who for different reasons may have non-standard eye appearance (e.g., non-round iris, coloboma, strangely formed student, hanging eyelids, or much eyelash impediment) or who essentially experience issues displaying to the cam (e.g., nystagmus or veered off look). From all the previously stated works, a few intriguing focuses can be closed as takes after:

All these strategies including Kong's distinguished all conceivable clamour areas specifically from unique iris pictures. It would be additional time intensive if one need to precisely recognize all conceivable commotions;

Although Kong's model has acquainted how with precisely distinguish eyelash and reflection commotions, it has not been tried taking into account the predominant distinguishment calculation on a substantial iris database;

- No strategy considered how to precisely section the iris locales and the student districts when the state of the understudy limit can't be approximated as circles;
- No system has been proposed to identify every one of the four sorts of clamours, to be specific eyelashes, eyelids, reflections and student in a solitary calculation;
- Inner and external limits, eyelashes and eyelid are recognized in diverse steps, creating an extensive increment in preparing time of the framework;
- Usually, the inward and external limits are identified by circle fitting systems. This is a wellspring of lapse, subsequent to the iris limits are not precisely circles.
- The aftereffects of the circle fitting strategy are touchy to the picture pivot, especially if the rakish turn of the information picture is more than 100.
- In boisterous circumstances, the external limit of iris does not have sharp edges.

After recognizing iris limits, the came about iris zone is mapped into a size autonomous rectangular shape region. The rectangular standardization is having its detriments (Mahboubeh and Abdolreza, 2011).

Critical examination of African iris uncovers a dishonourably separated limits which will oblige an alteration of some of the current division algorithm(s) for their fitting distinguishment.

5. Results and Conclusion

Progression of iris division calculation for dark iris is required critically as world globalization and bury/intra guest development increments in this century. Improvement of open dark iris database will likewise be of extraordinary significance to upgrade advancement in iris biometric inquires about.

This paper tries to work upon CASIA database of iris and also try to develop some results for Iris segmentation. The experiment was performed using MATLAB (R 2011a) and images are taken from CASIA itself. The results will be explained and demonstrated with the help of MATLAB.

The primary step taken on iris image was to identify broken edges of iris and pupil with the help of canny edge detection technique. Ideally any iris image taken into consideration will be first pre-processed but canny edge detection is done without pre- processing. The output of iris segmentation can now be seen as figure 1.

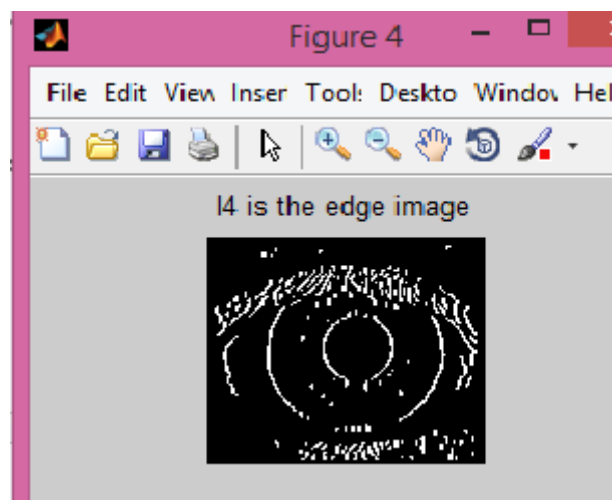


Figure 1. Edge detection on iris

The next step in iris detection is to generate a iris with a pupil representation. This is done by taking a Gaussian filter image. Figure 2. Describes the results.

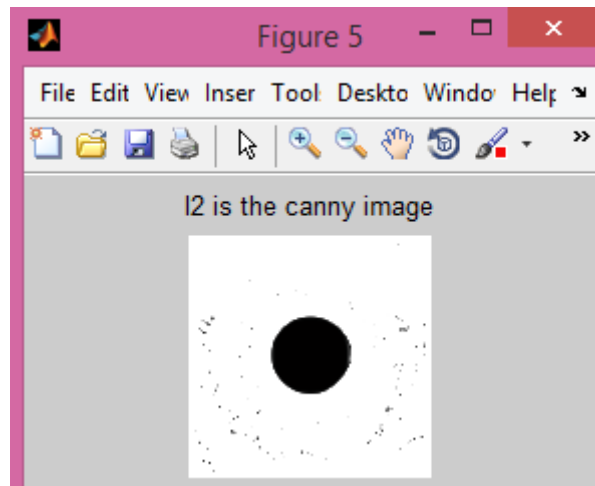


Figure 2. Pupil segmented image

The next step is to improve the brightness in the image which is normally by taking power law approach in the contrast improvement. Figure 3 will be depicting the contrast improved results.

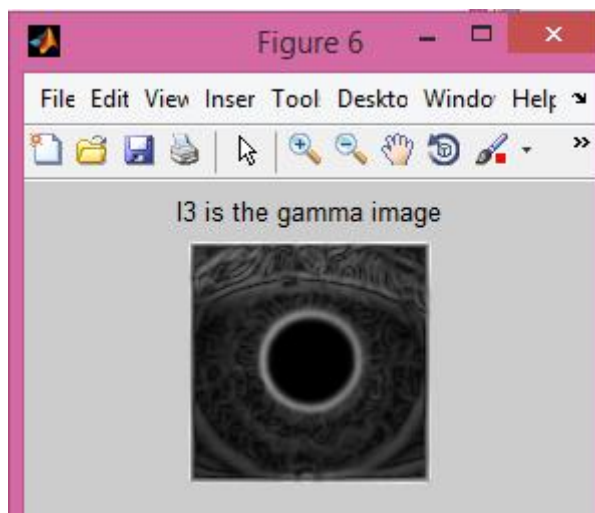


Figure 3. Contrast improved image

The final image that will be obtained will be a maxima suppressed image that follows the figure 3. The maxima suppressed image will be a suppressed border image and a quick illustration of boundaries at figure 4.

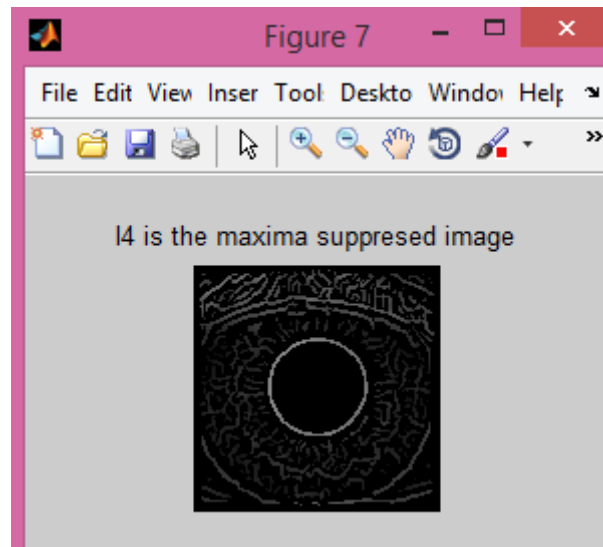


Figure 4. Maxima suppressed image after Contrast improvement

Then the image segmentation which is a part of complete iris recognition is considered to be finished with the final boundaries of iris and pupil on the original image in figure 5.

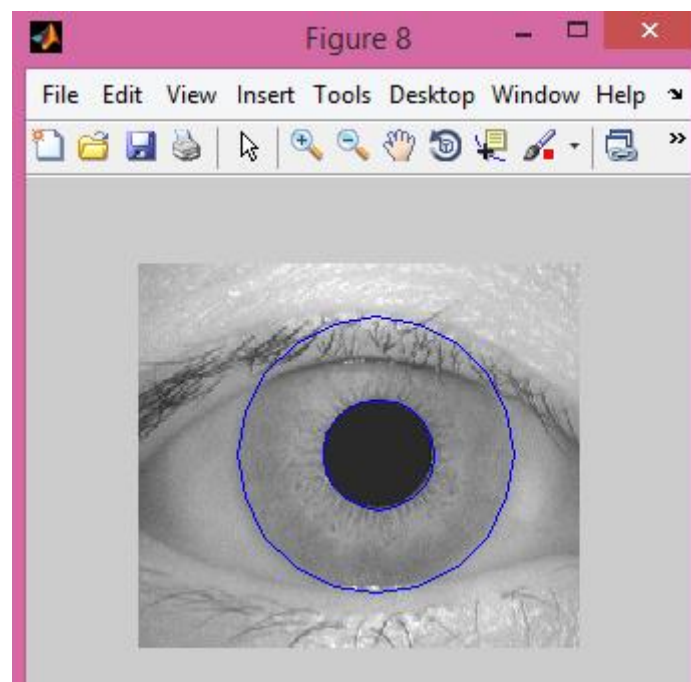


Figure 5. Iris and pupil boundaries

References

- [1] Peihua L. and Xiaomin L., (2008). An incremental method for accurate iris segmentation. *Proceedings of IEEE*.

- [2] Daugman J., (1993). High confidence visual recognition of person' by a test of statistical independence. *IEEE Transaction, PAMI*, 15(11), pp. 1148-1161.
- [3] Daugman J., (2003). The importance of being random: statistical principles of iris recognition. *Pattern recognition*. 36(2), pp 279-291.
- [4] Peihua Li and Xiaomin Liu, (2008). An incremental method for accurate iris segmentation *IEEE transactions*.
- [5] Camus, T. and R. Wildes, (2004). Reliable and fast eye inding in close-up images. Proceeding of the 16th International conference on pattern recognition, Aug. 11-15, IEEE Computer Society, Washington DC, USA, pp. 389-394.
- [6] Nakissa B. and Mohammad S.M., (2008). A new approach for iris localization in iris recognition systems. *IEEE Transaction*, 5(8), pp. 516-523.
- [7] Wildes, R.P., (1997). Iris recognition: an emerging biometric technology. Proceeding of IEEE, 85(9), pp. 1348-1364.
- [8] Ma, L., T. Tan, Y. Wang and D. Zhang, (2004). Efficient iris recognition by characterizing key local variations. *IEEE Trans., Image Processing*, 13: 739-750.
- [9] Wildes, R. J., Asmuth, G. G. and Hsu, H., (2004). A system for automated iris recognition. *Second IEEE workshop on applications of computer vision*.
- [10] Martin-Roche, D., Sanchez-Avila, C., and Sanchez-Reillo, R., (2002). Iris recognition system for biometric identification using dyadic wavelet transform zero-crossing. *IEEE Aerospace Electron System Magazine*, 17(10), pp. 3-6.
- [11] Boles W. and Boashash B., (1998). A human identification techniques using images of the iris and wavelet transform. *IEEE Transaction, Signal processing*, 46(4): pp.1185-1188.
- [12] Ma L., Tan T., Wang Y. and Zhang D., (2003). Personal Recognition based on iris Texture Analysis. *IEEE Transaction, PAMI*, 25(12), pp. 1519 – 1533..
- [13] Puhan, N.B. and Kaushalram, A.S., (2011). Efficient segmentation technique for noisy frontal view iris images using Fourier spectral density. *SIViP*, Springer, pp.105-119.